



U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION
Washington, D.C. 20507

Office of Inspector General

November 3, 2022

MEMORANDUM

TO: Charlotte A. Burrows
Chair

Bryan Burnett
Chief Information Officer

FROM: Joyce T. Willoughby, Esq.
Acting Inspector General

SUBJECT: Transmittal and Exit Conference Memorandum: Federal Information Security Modernization Act of 2014 (FISMA) Fiscal Year 2022 Performance Audit (OIG Report Number 2022-001-AOIG)

The Office of Inspector General (OIG) contracted with the independent certified public accounting firm of Harper, Rains, Knight & Company, P.A. (HRK) to conduct a performance audit of EEOC's information security program and practices in accordance with the Federal Information Security Modernization Act of 2014 (FISMA) for Fiscal Year 2022. The objective of this performance audit was to assess the effectiveness of the EEOC's information security program and practices for the period October 1, 2021 through September 30, 2022.

HRK found that the EEOC has established and maintained a constantly implemented information security program and practices. HRK has identified in its report areas of improvement in the form of findings and recommendations. The OIG, along with HRK, will conduct a virtual exit conference regarding FISMA on November 16, 2022, at 2:00 pm via MS Teams where the auditors from HRK will discuss the results of the audit.

The Office of Management and Budget issued Circular Number A-50, Audit Follow-up, to ensure that corrective action on audit findings and recommendations proceed as rapidly as possible. EEOC Order 192.002, Audit Follow-Up Program, implements Circular Number A-50 and requires that for resolved recommendations, a corrective action work plan should be submitted within 30 days of the final audit report date describing specific tasks and completion dates necessary to implement audit recommendations. Circular Number A-50 requires prompt resolution and corrective action on audit recommendations. Resolutions should be made within six months of final report issuance.

If you have any questions or concerns, you may contact Greg Frazier at 202-921-3137, Gregory.Frazier@eeoc.gov, or Nina Murphy at 202-320-7201, Nina.Murphy@eeoc.gov.

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