



U.S. EQUAL EMPLOYMENT OPPORTUNITY COMMISSION
Washington, D.C. 20507

Office of Inspector General

December 13, 2024

MEMORANDUM

TO: Charlotte A. Burrows
Chair

Pierrette McIntire
Chief Information Officer

FROM: Joyce T. Willoughby *JT Willoughby*
Inspector General

SUBJECT: Transmittal and Exit Conference Memorandum: Federal Information Security Modernization Act of 2014 for Fiscal Year 2024 Performance Audit (OIG Report Number 2024-002-AOIG)

The Office of Inspector General (OIG) contracted with the independent certified public accounting firm of Harper, Rains, Knight & Company, P.A. (HRK) to conduct a performance audit of EEOC's information security program and practices in accordance with the Federal Information Security Modernization Act of 2014 (FISMA) for Fiscal Year 2024. The objective of this performance audit was to assess the effectiveness of the EEOC's information security program and practices for the period October 1, 2023, through September 30, 2024. HRK identified areas of improvement in the form of findings and recommendations. EEOC's management was given the opportunity to review the notifications of findings and recommendations and their responses are included in the report.

The OIG does not express an opinion on EEOC's FISMA audit or conclusions about the effectiveness of security program and practices, or conclusions on compliance with laws and other matters. HRK is responsible for the attached auditors' report dated December 12, 2024, and the conclusions expressed therein.

The OIG, along with HRK, will conduct a virtual exit conference on December 18, 2024, at 3pm via Microsoft Teams where the auditors from HRK will discuss the results of the performance audit.

The Office of Management and Budget issued Circular Number A-50, Audit Follow-up, to ensure that corrective action on audit findings and recommendations proceed as rapidly as possible. EEOC Order 192.002, Audit Follow-Up Program, implements Circular Number A-50 and requires that for resolved recommendations, a corrective action work plan should be submitted within 30 days of the final audit report date describing specific tasks and completion dates necessary to implement audit recommendations. Circular Number A-50 requires prompt resolution and corrective action on audit recommendations. Resolutions should be made within six months of final report issuance.

cc:

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